

Case Docket No. NEREUS.069A

Date: January 23, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Mitchell, et al.

Appl. No.

10/673,036

Filed

September 25, 2003

For

MACROCYCLIC LACTAMS

Examiner

Unknown

Group Art Unit:

1624

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

January 23, 2004

Marc T. Morley, Reg. No. 52,05

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- A PTO Form 1449 listing twenty-nine (29) references, eight (8) of which are enclosed. (X)
- The Commissioner is hereby authorized to charge any additional fees which may be required, or (X) credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Marc T. Morley

Registration No. 52,051 Attorney of Record

Customer No. 20,995

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INFORMATION DISCLOSURE STATEMENT

Applicant

Mitchell, et al.

App. No.

: 10/673,036

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For

MACROCYCLIC LACTAMS

Examiner

Unknown

Group Art Unit

1624

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing twenty-nine (29) references. Copies of twenty-one (21) disclosed U.S. patents are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. However, copies of the other eight (8) references are enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

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Registration No. 52,051

Attorney of Record

Customer No. 20,995

(619) 235-8550

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

E ATTY. DOCKET NO. NEREUS.069A APPLICATION NO. 10/673,036

INFORMATION DISCLOSURE STATEMENT

APPLICANT Mitchell, et al.

(USE SEVERAL SHEETS IF NECESSARY)

FILING DATE GROUP September 25, 2003 GROUP 1624

			· · ·	U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	5,445,829	08/1995	Paradissis, et al.			
	2	5,565,561	10/1996	Műller, et al.			
	3	5,576,012	11/1996	Baver, et al.			
	4	5,601,845	02/1997	Buxton, et al.			
	5	5,641,515	06/1997	Ramtoola			
•	6	5,653,987	08/1997	Modi, et al.			
	7	5,654,286	08/1997	Hostetler			
	8	5,667,809	09/1997	Trevino, et al.			
	9	5,683,676	11/1997	Akehurst, et al.			
-	10	5,688,529	11/1997	Lidgate, et al.			
	11	5,707,615	01/1998	Cardin, et al.			
	12	5,707,641	01/1998	Gertner, et al.			·
	13	5,726,181	03/1998	Hausheer, et al.			
	14	5,733,888	03/1998	Carver, et al.			
	15	5,874,443	02/1999	Kiely, et al.			
	16	5,886,210	03/1999	Rayle, et al.			
	17	5,922,683	11/1999	Sigl			
	18	6,350,759	02/2002	Casara, et al.			
	19	6,500,825	12/2002	Lan, et al.			
	20	6,506,787	01/2003	Fujishita, et al.			
	21	6,509,331	01/2003	Audia, et al.			

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. NEREUS.069A	APPLICATION NO. 10/673,036
OTP E INFORMATION I	DISCLOSURE STATEMENT		
14 5 6 5004 F	/ APPLICANT	APPLICANT Mitchell, et al.	
(USE SEVERAL	. SHEETS IF NECESSARY)	FILING DATE September 25, 2003	GROUP 1624
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)						
	22 Faulkner, D. John, "Marine Pharmacology", Antonie van Leeuwnhoek, Vol. 77, pp. 135-145 (2000).						
		Fenical, William, et al., "Marine Microorganisms as a Developing Resource for Drug Discovery", Pharmaceutical News, Vol. 9, pp. 489-494 (2002).					
		Gerber, Nancy N., "A New Prodiginine (Prodigiosin-like) Pigment from Streptomyces. Antimalarial Activity of Several Prodiginines", The Journal of Antibiotics, Vol. XXVIII, No. 3, pp. 194-199 (Mar., 1975).					
		Kojiri, Katsuhisa, et al., "A New Macrocyclic Lactam Antiobiotic, VE-14106, I. Taxonomy, Isolation, Biological Activity and Structural Elucidation", The Journal of Antibiotics, Vol. 45, No. 6, pp. 868-874 (June, 1992).					
		Okami, Yoshiro, "The Search for Bioactive Metabolites from Marine Bacteria", The Journal of Marine Biotechnology, Vol 1, pp. 59-65 (1993).					
		Shindo, Kazutoshi, et al., "Vicenistatin, a Novel 20-Membered Macrocyclic Lactam Antitumor Antibiotic", The Journalo of Antibiotics, Vol. 46, No. 7, pp. 1076-1081.					
-	28	Takahashi, I., et al., "Communication to the Editor", The Journal of Antibiotics, Vol. 50, No. 2, 186-188					
	29	Wasserman, Harry H., et al., Journal of the American Chemical Society; Vol. 91, No. 5, pp. 1263-1264 (Feb., 1969).					

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